

**TRANSMITTAL OF INFORMATION DISCLOSURE STATEMENT**  
(Under 37 CFR 1.97(b) or 1.97(c))

1712  
Docket No.  
TSL1685

In Re Application Of: **Hideki Kobayashi et al.**

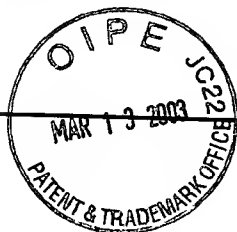
Serial No.  
09/997,749

Filing Date  
November 29, 2001

Examiner  
Margaret G. Moore

Group Art Unit  
1712

Title: **WATER REPELLENT SILICONE COATING AGENT COMPOSITION**



Address to:  
Assistant Commissioner for Patents  
Washington, D.C. 20231

**37 CFR 1.97(b)**

1. ☐ The Information Disclosure Statement submitted herewith is being filed within three months of the filing of a national application other than a continued prosecution application under 37 CFR 1.53(d); within three months of the date of entry of the national stage as set forth in 37 CFR 1.491 in an international application; before the mailing of a first Office Action on the merits, or before the mailing of a first Office Action after the filing of a request for continued examination under 37 CFR 1.114.

**37 CFR 1.97(c)**

2. ☒ The Information Disclosure Statement submitted herewith is being filed after the period specified in 37 CFR 1.97(b), provided that the Information Disclosure Statement is filed before the mailing date of a Final Action under 37 CFR 1.113, a Notice of Allowance under 37 CFR 1.311, or an Action that otherwise closes prosecution in the application, and is accompanied by one of:

☒ the statement specified in 37 CFR 1.97(e);

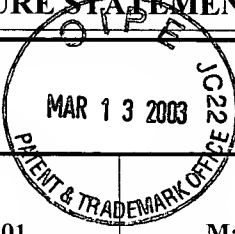
**OR**

☐ the fee set forth in 37 CFR 1.17(p).

**STATEMENT UNDER 37 CFR 1.97(e) ACCOMPANYING  
INFORMATION DISCLOSURE STATEMENT**

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Invention: **WATER REPELLENT SILICONE COATING AGENT COMPOSITION**

TO THE ASSISTANT COMMISSIONER FOR PATENTS:

This is a statement under the provisions of 37 CFR 1.97(e) in the above-identified application.

Check applicable statement herebelow:

Statement Under 37 CFR 1.97(e)(1)

- ☒ Each item of information contained in the accompanying Information Disclosure Statement was first cited in any communication from a foreign patent office in a counterpart foreign application not more than three months prior to the filing of the Information Disclosure Statement.

Statement Under 37 CFR 1.97(e)(2)

- ☐ No item of information contained in the accompanying Information Disclosure Statement was cited in a communication from a foreign patent office in a counterpart foreign application, and, to the knowledge of the undersigned person, after making reasonable inquiry, no item of information contained in the accompanying Information Disclosure Statement was known to any individual designated in 37 CFR 1.56(c) more than three months prior to the filing of the Information Disclosure Statement.

*Patricia M. Scaduto*  
Signature

Patricia M. Scaduto  
(989) 496-6925

Dated: *March 6, 2003*  
*3-6-03*



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**CERTIFICATE OF MAILING BY FIRST CLASS MAIL (37 CFR 1.8)**Applicant(s): **Hideki Kobayashi et al.**

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**Margaret G. Moore**

Group Art Unit

**1712**Invention: **WATER REPELLENT SILICONE COATING AGENT COMPOSITION**

I hereby certify that this Suppl. info disc., EPO Search Report, PTO1449, Info Disc & Statement, Prior Art  
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**Shelly K. Marshall**

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